Express Mail No. EV 148299860 US Docket No. 2022927-7035362001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicant: Filing Date: Serial No.: Title: DIODES | Charles E. Hamilton, et al. HEREWITH NEW APPLICATION SPECTRAL BEAM COMBIN | Assignee: Examiner: Group Art Unit: NATION OF BROAD | Aculight Corporation Unknown Unknown -STRIPE LASER |
|---------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------|
| Commissione P.O. Box 145 Alexandria, V | | | |
| , | | CLOSURE STATEME | NT |
| Sir: | | CEOGORE STATEMEN | |
| | In accordance with 37 C.F.R. § e being brought to the attention of nination of the above-identified particles. | the Examiner for consi | |
| I. Timing of | the Information Disclosure Sta | tement: | |
| This Informa | tion Disclosure Statement is filed: | | |
| \boxtimes | With the new patent application | submitted herewith (37 | 7 C.F.R. § 1.97(a)). |
| | Within three months after the fit after the date of entry of the nation 37 C.F.R. § 1.491. | | |
| | Before the mailing date of a firs however, that an Office Action of Information Disclosure Stateme checked. Otherwise, the Commaccount No. 50-2518 for the fee additional required fees. | on the merits has crosse nt, no fee will be due if issioner is hereby autho | ed in the mail with this the certification below is orized to charge Deposit |
| This Information | tion Disclosure Statement is filed: | | |
| | After the first Office Action and filing date; or PCT national stag undersigned, prior to the mailing allowance, whichever occurs fir charge Deposit Account No. <u>50-</u> 37 C.F.R. § 1.17(p) and any add | ge date of entry filing bug date of either a final rest, and the Commission 1-2518 for the fee (\$180) | at, as far as is known to the ejection or a notice of the is hereby authorized to |

| This Informa | ation Disclosure Statement is filed: |
|--------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | After the mailing date of either a final rejection or a notice of allowance, whichever occurred first, and is accompanied by the fee (\$180.00) set forth in 37 C.F.R. § 1.17(i)(1) and a certification as specified in 37 C.F.R. § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the Information Disclosure Statement. |
| The undersig | gned certifies that: |
| | Each item of information contained in the Information Disclosure Statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. |
| | No item of information contained in this information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. |
| II. Copies o | of the Cited Items: |
| \boxtimes | Copies of all of the items listed on the attached Form PTO-1449 are enclosed. |
| | Copies of only the following items listed on the attached Form PTO-1449 are enclosed: |
| | Copies of those items which are marked with an asterisk (*) in the attached Form PTO-1499 are not supplied because they were previously cited by or submitted to the Patent Office in a prior Application No, filed and relied upon in this application for an earlier filing date under 35 U.S.C § 120. See 37 C.F.R. § 1.98(d). |
| | Copies of those items which are marked with an asterisk (**) in the attached Form PTO-1499 were cited in a foreign examination report in a related case. A copy of the search report and the cited references not already of record in this application are attached hereto. |
| III. Concise | Explanation of Relevance: |
| | A concise explanation of relevance of the items listed on Form PTO-1449 is not given. |
| | A concise explanation of relevance of the items listed on Form PTO-1449 is in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references (copy attached). |

IV. Conclusion:

Citation of the above documents shall not be construed as:

- 1. an admission that the documents are necessarily prior art with respect to the instant invention;
- 2. a representation that a search has been made, other than as described above; or
- 3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO 1449 with initials or other appropriate marks.

The Commissioner is hereby authorized to charge Deposit Account No. <u>50-2518</u> Docket No. <u>2022927-7035362001</u> for any additional fees required in connection with the filing of this Information Disclosure Statement.

DATE: 10/16/03

Respectfully submitted,

By: _______ David G. Beck

Registration No.: 37,776

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Telefax: (415) 393-2286

FORM PTO-1449 (Modified [6-1])

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.: 2022027-7035362001

SERIAL NO.: NEW APPLICATION

INVENTOR(s): Charles E. Hamilton, et al.

FILING DATE: HEREWITH GROUP ART UNIT:

VITH UNKNOWN

| REFERE | NCE DE | SIGNATION | U.S. PATENT DO | CUMENTS | | | |
|-------------------|--------|--------------------|----------------|-----------------------|-------|----------|----------------------------|
| EXAM'R INITIAL | | DOCUMENT NUMBER | DATE | NAME | Class | Subclass | Filing Date If Appropriate |
| | A1 | 6,192,062 | 01/20/01 | Sanchez-Rubio, et al. | 372 | 92 | 02/04/00 |

OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)

| | C1 | Ming-Wei Pan, et al., "Fiber-coupled high-power external-cavity semiconductor lasers for real-time Raman sensing", Applied Otics, Vol. 37, No. 24, August 20, 1998. |
|--------------|-----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | C2 | Jean-Francois Lepage, et al., "Apodizing holographic gratings for the modal control of semiconductor lasers", Applied Optics, Vol. 36, No. 21, July 20, 1997. |
| | C3 | Carlow R. Fernandez-Pousa, et al., "Talbot conditions, Talbot resonators, and first-order systems", J. Opt. Soc. AM. A, Vol. 20, No. 4, April 2003. |
| | C4 | R.G. Waarts, et al. "Coherent Radiation from a broad area semiconductor laser in an external cavity", Laser-Diode Technology and Applications II, SPIE, Vol. 1219, (1990). |
| | C5 | Sylvan Mailhot, et al. "Single-mode operation of a broad-area semiconductor laser with an anamorphic external cavity: experimental and numerical results" Applied Optics, Vol 39, No. 36, December 20, 2000. |
| | C6 | Morris B. Snipes, Jr., et al. "Transverse mode filtering of wide stripe semiconductor lasers using an eternal cavity", SPIE Vol, 1634, Laser Diode Technology and Applications IV (1992). |
| | C7 | A.C. Fey-den Boer, et al "Grating feedback in a 810 nm broad-area diode laser", Applied Physics, B. 63, 117-120 (1996). |
| | C8 | Sylvie Yiou, et al. "Improvement of the spatial beam quality of laser sources with an intracavity Bragg grating", Optics Letters, Vol. 28, No. 4, February 15, 2003. |
| | C9 | W. Nagengast, et al., "High-power single-mode emission from a broad-area semiconductor laser with a pseudoexternal cavity and a Fabry-Perot etalon", Optics Letters, Vol. 22, No. 16, August 15, 1997. |
| | C10 | Damien Stryckman, et al., "Improvement of the lateral-mode discrimination of broad-area diode lasers with a profiled reflectivity output facet", Applied Optics, Vol. 35, No. 30, October 20, 1996. |
| | C11 | Takashige Omatsu, et al. "Longitudinal-single mode operation of broad-stripe laser diode using a photorefractive phase conjugator", SPIE, Vol. 2896 (1996) |

| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).